



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/081,302	02/20/2002	Ding-Yu Chung	FA1016USNA

23906  
E I DU PONT DE NEMOURS AND COMPANY  
LEGAL PATENT RECORDS CENTER  
BARLEY MILL PLAZA 25/1128  
4417 LANCASTER PIKE  
WILMINGTON, DE 19805

CONFIRMATION NO. 3843

## FORMALITIES LETTER



\*OC000000007720901\*

Date Mailed: 03/26/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(f) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

---

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/17/2002 BMGUYEW1 00000001 041928 10081302

01 FC:105 130.00 CH



COPY OF PAPERS  
ORIGINALLY FILED

PATENT

MP  
438 V  
TL

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of:

CHUNG

CASE NO.: FA1016 US NA

APPLICATION NO.: 10/081,302

GROUP ART UNIT: 1741

FILED: FEBRUARY 20, 2002

EXAMINER: UNKNOWN

FOR: AMINE ACID ZWITTERION ADDITIVE  
FOR A CATHODIC ELECTROCOATING  
COMPOSITION

**RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF APPLICATION-FILING DATE GRANTED**

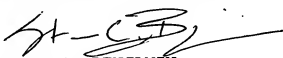
Assistant Commissioner for Patents  
Washington, DC 20231

Attention: Box Missing Parts

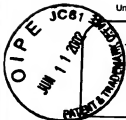
Sir:

In response to the Notice to File Missing Parts of Application mailed March 26, 2002 (a copy of which is attached), enclosed is a Declaration and individual Powers for the above-referenced application. A fee sheet is also enclosed.

Respectfully submitted,

  
STEVEN C. BENJAMIN  
ATTORNEY FOR APPLICANTS  
REGISTRATION NO. 36,087  
TELEPHONE: 302-992-2236  
FACSIMILE: 302-992-2533

Dated: 5-28-02

COPY OF PAPERS  
ORIGINAL FILED

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Approved for use through 10/31/2002. OMB 0681-0002  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCEFEE TRANSMITTAL  
for FY 2002

Patent fees are subject to annual revision.

☐ Applicant Claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 130

## Complete (if Known)

Application Number	10/081,302
Filing Date	FEBRUARY 20, 2002
First Named Inventor	CHUNG
Examiner Name	UNKNOWN
Group / Art Unit	1741
Attorney Docket No.	FA1016 US NA

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit Account Number  
04-1928Deposit Account Name  
E. I. du Pont de Nemours and Company

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	
SUBTOTAL (1)					(\$) 0

## 2. EXTRA CLAIM FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claim, if not paid	
109	84	209	42	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$) 0

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late provisional filing fee or cover sheet	130
127	50	227	25	Non-English specification	
139	130	139	130	For filing a request for reexamination	
147	2,520	147	2,520	Requesting publication of SIR prior to Examiner action	
112	920*	112	920*	Requesting publication of SIR after Examiner action	
113	1,840*	113	1,840*	Extension for reply within first month	
115	110	215	55	Extension for reply within second month	
118	400	216	200	Extension for reply within third month	
117	920	217	460	Extension for reply within fourth month	
118	1,440	218	720	Extension for reply within fifth month	
128	1,960	228	980	Notice of Appeal	
119	320	219	160	Filing a brief in support of an appeal	
120	320	220	160	Request for oral hearing	
121	280	221	140	Petition to institute a public use proceeding	
138	1,510	138	1,510	Petition to revive - unavoidable	
140	110	240	55	Petition to revive - unintentional	
141	1,280	241	640	Utility issue fee (or reissue)	
142	1,280	242	640	Design issue fee	
143	460	243	230	Plant issue fee	
144	620	244	310	Petitions to the Commissioner	
122	130	122	130	Processing fee under 37 CFR 1.17(q)	
123	50	123	50	Submission of Information Disclosure Stmt	
126	180	126	180	Recording each patent assignment per property (times number of properties)	
581	40	581	40	Filing a submission after final rejection (37 CFR § 1.129(a))	
146	740	246	370	For each additional invention to be examined (37 CFR § 1.129(b))	
149	740	249	370	Request for Continued Examination (RCE)	
179	740	279	370	Request for expedited examination of a design application	
169	900	169	900		

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 130

## SUBMITTED BY

Name (Print/Type) STEVEN C. BENJAMIN

Registration No. Attorney(Agent) 36,087

## Complete (if applicable)

Telephone 302-992-2236

Signature

Date 5-28-02

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

COPY OF PATENT  
ORIGINALLY FILED

PTO/SB/02 (09-00)

Approved for use through 10/31/2002. OMB 0651-0031

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE



## Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

on May 28, 2002

Date

Signature

NANCY FELAN

Type or printed name of person signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

10/081,302 (FA1016 US NA)  
Response to Notice to File Missing Parts  
Fee Transmittal (duplicate)  
Declaration (2 sheets)  
Power of Attorney (1 sheet)  
Copy of formalities letter  
Postcard receipt

Burden Hour Statement: This form is estimated to take 0.03 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.